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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Min-Su YEO

Serial No.: 10/823,312

Filing Date: 04/13/2004

For: PIEZOELECTRIC SPEAKER



<p style="text-align: center;"><b>CERTIFICATE OF MAILING,</b> <b>37 C.F.R. §1.8(a)</b></p> <p>I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.</p> <p style="text-align: center;"><i>Stephan P. Gribok</i> _____ Stephan P. Gribok Reg. No. 29,643</p> <p style="text-align: center;"><b>5-12-04</b> _____ Date</p>
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COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 CFR. § 1.56 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last. [37 CFR § 1.97(b)]
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. [37 CFR § 1.97(c)]
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to or with payment of the Issue Fee. [37 CFR § 1.97(d)]

Consistent with Applicant's obligations pursuant to 37 CFR §§1.97 and 1.98, the following requirements have been met:

- ☐ No separate requirements are needed.
- ☒ No additional fee is required.
- ☐ **Fee Under 37 CFR § 1.97©**

The fee of \$240.00 for submission of an IDS under § 1.97© as set forth in § 1.17(p) accompanies this statement.

☐ **Petition Under 37 CFR § 1.97(d)**

This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

☐ **Certification Under 37 CFR § 1.97(e)**

Each item of information contained in this statement was either

- (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- (2) not known to any individual designated in § 1.56© more than three months prior to the filing of this statement.

**Provision of Copies of References**

☒ A copy of each of the references listed on the attached Form PTO-1449A is enclosed herewith and forms a part hereof.

☐ A partial translation of Reference \_\_\_\_ is enclosed herewith and forms a part hereof.

☐ A copy of the partial European Search Report from a corresponding or related EPO application is enclosed herewith, namely \_\_\_\_\_ Patent Appln. No. \_\_\_\_\_.

☐ A copy of the Search Report from a corresponding or related German application is enclosed herewith.


☐ A copy of the International Search Report from a corresponding or related PCT application is enclosed herewith, namely, \_\_\_\_\_.

**Identification of Prior Application(s) In Which Listed Information Was Already Cited And For Which No Copies Are Submitted Or Need Be Submitted**

- [ ] This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior Application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_. The following references were submitted to, and/or cited by, the Office in the prior application(s) and therefore are not required to be provided in this application:
- [X] The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to **Deposit Account No. 04-1679**. A duplicate copy of this transmittal is attached.

Respectfully submitted,

Date: May 12, 2004



Stephan P. Gribok  
Registration No. 29,643  
Duane Morris, LLP.  
One Liberty Place  
Philadelphia, PA 19103-7396  
(215) 979-1283

Docket No: 4001-19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

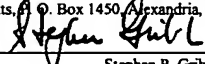
In re Application of: **Min-Su YEO**

Serial No.: 10/823,312

Filing Date: 04/13/2004

For: PIEZOELECTRIC SPEAKER



<b>CERTIFICATE OF MAILING,</b> <b>37 C.F.R. §1.8(a)</b> I certify that this correspondence and the enclosures mentioned therein are being deposited by First Class U.S. Mail with sufficient postage on the date shown below, addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.  _____ Stephan P. Gribok Reg. No. 29,643 _____ 5-12-04 _____ Date
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**INFORMATION DISCLOSURE STATEMENT**

COMMISSIONER FOR PATENTS  
P. O. BOX 1450  
ALEXANDRIA, VA 22313-1450

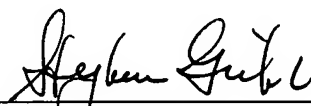
Sir:

Pursuant to the Duty of Disclosure set forth in 37 CFR 1.56, the materials listed on the enclosed for PTO-1449 are hereby brought to the attention of the Examiner. A copy of each is enclosed.

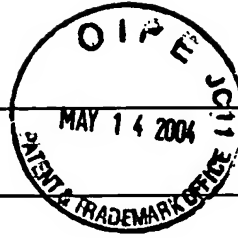
The claimed invention is believed patentable over the enclosed references. No representations are made regarding the references other than those set forth above.

Respectfully submitted,

Date: May 12, 2004

  
\_\_\_\_\_  
Stephan P. Gribok  
Registration No. 29,643  
Duane Morris LLP  
One Liberty Place  
Philadelphia, PA 19103-7396  
(215) 979-1283

Docket No: 4001-19



Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION  (Use several sheets if necessary)	Docket No. 4001-19	Serial No. 10/823,312
	Applicant Min-Su YEO	
	Filing Date 04/13/2004	Group Art Unit: Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	4,979,219	12/18/1990	LIN	381/190	381/156	03/14/1989
	B						
	C						
	D						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO	
	A							
	B							
	C							

## OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)

	A	
	B	
	C	
	D	
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and are not considered. Include copy of this form with next communication to the applicant.